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## NOTICE OF ALLOWANCE AND FEE(S) DUE

25944 7590 09/26/2002

OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

EXAMINER

MATHEWS, ALAN A

ART UNIT

CLASS-SUBCLASS

2851

355-053000

DATE MAILED: 09/26/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/994,758	12/19/1997	KENJI NISHI	XA-7889A-RE	6998

TITLE OF INVENTION: PROJECTION EXPOSURE APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$0	\$1280	12/26/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE  
Commissioner for Patents  
Washington, D.C. 20231  
Fax (703)746-4000**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS** (Note: Legibly mark-up with any corrections or use Block 1)

25944 7590 09/26/2002

**OLIFF & BERRIDGE, PLC**  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

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EXAMINER	ART UNIT	CLASS-SUBCLASS
MATHEWS, ALAN A	2851	355-053000

**1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).**

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

**2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.**

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government

**4a. The following fee(s) are enclosed:**

- ☐ Issue Fee
- ☐ Publication Fee
- ☐ Advance Order - # of Copies \_\_\_\_\_

**4b. Payment of Fee(s):**

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

**NOTE:** The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)



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OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320 UNITED STATES			MATHEWS, ALAN A	
			ART UNIT	PAPER NUMBER
			2851	

DATE MAILED: 09/26/2002

**Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)**

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for patent term extension or adjustment under 35 U.S.C. 154(b).



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## Notice of Possible Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there may be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003: Notice of Proposed Rulemaking, 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: <http://www.uspto.gov/main/howtofees.htm>.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of any fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

# Notice of Allowability

Application No.

08/994,758

Examiner

Alan A. Mathews

Applicant(s)

NISHI, KENJI

Art Unit

2851

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to communication filed June 24, 2002.
2. ☒ The allowed claim(s) is/are 1-9, 13-39, 41-43, 45, 49-55, 68-70, 72-74, 76, 80-84, 96-99, 101-103, 105, 109-115, 128-142, and 189.
3. ☒ The drawings filed on 19 December 1997 are accepted by the Examiner.
4. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☒ All    b) ☐ Some\*    c) ☐ None    of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☒ Certified copies of the priority documents have been received in Application No. 08/377,504.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.
5. ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
  - (a) ☐ The translation of the foreign language provisional application has been received.
6. ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. **THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

7. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
8. ☐ CORRECTED DRAWINGS must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No. \_\_\_\_\_.
  - (b) ☐ including changes required by the proposed drawing correction filed \_\_\_\_\_, which has been approved by the Examiner.
  - (c) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No. \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not the back) of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

9. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

- |  |   |
|--|---|
| 1 <input type="checkbox"/> Notice of References Cited (PTO-892)  | 2 <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)          |
| 3 <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                    | 4 <input type="checkbox"/> Interview Summary (PTO-413), Paper No. _____             |
| 5 <input type="checkbox"/> Information Disclosure Statements (PTO-1449), Paper No. _____               | 6 <input type="checkbox"/> Examiner's Amendment/Comment                             |
| 7 <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material | 8 <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance |
|  | 9 <input type="checkbox"/> Other  |

*Alan A. Mathews*

Alan A. Mathews  
Primary Examiner

**DETAILED ACTION**

**REASONS FOR ALLOWANCE**

1. The copied claims 143-168 and 171 from U. S. Patent No. 5,796,469 have been canceled from the instant application.

2. The following is an examiner's statement of reasons for allowance:

With respect to independent claim 1, the prior art does not disclose setting the illumination area in a scanning system of an exposure apparatus to be rectangular and letting a light intensity distribution of the illumination area have a trapezoidal shape so that a middle portion of the distribution exhibits a constant light intensity and the two side portions of the distribution exhibit gradually decreasing light intensity as recited in claim 1.

With respect to independent claim 7, the prior art does not disclose a controller for controlling a pulse light source and a scanning system such that a position of a photosensitive substrate in a first direction at the time when the pulse light performs pulse emission in a first scanning operation with respect to the photosensitive substrate and a mask coincides with that in a second scanning operation as recited in claim 7.

With respect to independent claim 9, the prior art does not disclose an scanning exposure apparatus with a scanning system which includes a mask stage and a substrate stage and a first

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measuring system for measuring a position of the mask and a second measuring system for measuring a position of the substrate and an adjusting system for moving the mask to decrease a position deviation between the mask and the substrate, independently of scanning of the mask, during the scanning exposure, wherein the adjusting system includes a finely movable stage for relatively moving the mask on the mask stage, a driving member for finely driving the finely movable stage in the direction perpendicular to the optical axis and a controller for controlling the driving member in accordance with signals from the first and second measuring systems as recited in claim 9.

With respect to claim independent 15, Applicant argues that JP-A-63-128713 does not disclose or suggest "a mask driving unit for moving the first mask stage and a plate driving unit for moving said plate stage" used synchronously driving the first mask and the plate stage. The mask and the plate of this reference are moved as a unit by driving the scanning frame 6. The Examiner agrees with Applicant's reason for allowing claim 15.

With respect to independent claim 23, the prior art does not disclose or suggest a second mask stage for finely moving the mask on a first mask stage in each of translational and rotational directions and an illumination system having a slit shaped distribution and a first driving system for synchronously, relatively driving the plate stage and the first mask stage with a velocity ratio B and a detecting system for detecting a deviation amount from an ideal positional relation of the mask and the plate occurring at a term of the scanning exposure and a second driving system for driving the second mask stage to correct the deviation during the scanning exposure when the detected deviation amount is out of a predetermined tolerance as recited in claim 23.

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With respect to independent claim 29, Applicant argues that the combination of references does not disclose or suggest, *inter alia*, the claimed "first interferometer system to measure positional information of the mask", "a second interferometer system to measure positional information of the plate" and "said finely movable stage has a reflection surface, and said first interferometer system measures the positional information of the mask by applying a measuring beam to the reflection surface". The Examiner agrees with Applicant's reason for allowing claim 29.

With respect to independent claims 30 and 33, Applicant argues that the combination of references does not disclose or suggest, *inter alia*, "detecting a deviation between an ideal positional relation and an actual positional relation of the mask and the plate at a term of the scanning exposure by using a first measuring system to measure positional information of the mask and a second measuring system to measure positional information of the plate" and "correcting a position of the mask determined by said scanning mechanism so as to decrease said deviation value by using a fine moving mechanism provided on said scanning mechanism at the term of the scanning exposure". The Examiner agrees with Applicant's reason for allowing claims 30 and 33.

With respect to independent claims 34 and 35, the prior art does not disclose or suggest a first mask stage for moving the mask in the X direction and a second mask stage for finely moving the mask on the first mask stage in each of translational and rotational directions and first driving means for synchronously driving each of a plate stage and the first mask stage with a predetermined velocity ration in the X direction during the scanning exposure and a second driving means for driving the plate stage and second mask stage to maintain a translational



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relation of the mask and plate in the Y direction and for driving the second mask stage to maintain a relative rotational relation of the mask and the plate during the scanning exposure.

With respect to independent claim 36, the prior art does not disclose or suggest detecting a positional deviation amount between a mask and a plate at a term of the scanning exposure by using a first interferometer to measure positional information of the mask and a second interferometer to measure positional information of the plate and correcting a position of the mask determined by the scanning mechanism for decreasing the detected deviation using a fine moving mechanism at the term of the scanning exposure.

With respect to independent claims 37 and 97, the prior art does not disclose or suggest a first driving system moving a first object in a first direction, at least a part of the first driving system being on one side of a projection system, a second driving system which moves the first object in a plane parallel to the surface of the first object while the first object is moved by the first driving system, at least a part of the second driving system being on the one side of the projection system, and a third driving system which moving the second object in the second direction, at least a part of the third driving system being on the other side of the projection system and where a first object held by a second movable member is moved in the first direction by moving the first movable member using the first driving system, and the first object is moved relative to the first movable member by moving the second movable member using the second driving system.

With respect to independent claim 68, the prior art does not disclose or suggest moving a first object in a first direction using a first driving system and shifting the first object in a plane substantially parallel to a surface of the first object using a second driving system while the first

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object is moved by the first driving system where the first driving system moves a first movable member, the second driving system shifts a second movable member, which support the first object, and where the first object is moved in the first direction by moving the first movable member using the first driving system and is shifted by shifting the second movable member using the second driving system and moving a second object in the second direction by using a third driving system.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alan A. Mathews whose telephone number is (703) 308-1706. The examiner can normally be reached on Monday through Friday from 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Russ Adams can be reached on (703) 308-2847. The fax phone numbers for the organization where this application or proceeding is assigned are 305-3431 for regular communications and 305-3431 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

*Alan A. Mathews*

Alan A. Mathews  
Primary Examiner  
Art Unit 2851

AAM  
July 17, 2002